

House File 2782
FY11 Project Status Report
Submitted to the Legislature 1/9/13

From this bill, the Iowa Department of Corrections (DOC) received funding from 5 sources:

Rebuild Iowa Infrastructure Fund (RIIF)(0017)
Revenue Bonds Capital Fund (RBC)(0433)
Endowment for Iowa's Health Restricted Capitals Fund (RC2)(0942)
Technology Reinvestment Fund (TRF)(0943)
FY 2009 Prison Bonding Fund(PBF)(0512)

Each project that received funding through these programs completed the following items.
Where applicable, the records for each project were used to complete items from Budget Offer
and I/3 budget information.

- Project Name and Description
- All Revenue Sources for Funding
- Agency Submitting Request
- Percent of Completed Work
- Total Estimated Project Cost
- All Revenue Sources for Funding
- Expended Funds
- Obligated Funds
- Estimated Completion Date

These projects are described in Tables A – E below.

DOC collected those data items for all projects and sent the completed report to the following parties on January 9, 2013 before the filing deadline of January 15, 2013:

Legislative Services Agency
Department of Management

This table includes RIIF (0017) reimbursements processed through DAS Finance, as of December 31, 2012.

Table A: RIIF Project Expenditures

Project Name	Agency	Fiscal Year Appropriated	Original Request	Expenditures to-date	Obligated Funds	% Completed	Estimated Complete Date
Project Manager	DOC	FY10	\$1,750,000	\$1,750,000	\$0	100%	Complete
Project Manager	DOC	FY11	\$322,500	\$322,500	\$0	100%	Complete
Project Manager	DOC	FY12	\$4,500,000	\$3,581,835	\$918,165	80%	2/15
Project Manager	DOC	FY13	\$1,000,000	\$0	\$1,000,000	0%	2/15
ISP one-time costs	DOC	FY12	\$5,155,077	\$155,885	\$4,999,192	3%	8/14
ISP	DOC	FY13	\$16,269,124	\$0	\$16,269,124	0%	8/14
ICIW one-time costs	DOC	FY12	\$14,761,556	\$7,012,485	\$7,749,071	48%	2/15
ICIW	DOC	FY13	\$14,170,062	\$0	\$14,170,062	0%	2/15
Newton Water	DOC	FY13	\$425,000	\$425,000	\$0	100%	unknown
TOTALS			\$58,353,319	\$13,247,705	\$45,105,614		

FY10, FY11, FY12, FY13 appropriations within the Rebuild Iowa Infrastructure Fund to the Department of Corrections for infrastructure improvement projects was \$ 58,353,319.

RIIF Project Descriptions and Funding Sources

1-4. Project Management

For costs associated with project management, architectural and engineering to remodel the Iowa Correctional Institute for Women and replace the Iowa State Penitentiary.

Progress of Work

Oversight on construction continues

Funding Sources:

Funded 80% by the RIIF program funds and 20% by RBC.

5-6. ISP and ISP one-time

For the cost of construction of and equipment for the new Iowa State Penitentiary.

Progress of Work

Exterior complete. Interior Finishing continues

Funding Sources:

Funded 14% by RIIF, 1% by RC2 and 85% by PBF program funds.

7-8. ICIW and ICIW one-time

For the cost of construction of and equipment for the Iowa Correctional Facility for Women

Progress of Work

Phase 1- Exterior complete. Interior Finishing continues

Phase 2 - In various stages of construction

Funding Sources:

Funded 36% by RIIF and 64% by RBC program funds.

9. Newton Water Loop

To repair leaks in existing water lines

Progress of Work

Entire appropriation transferred to DAS for administration and payments

Funding Sources:

Funded entirely by the FY13 RIIF program funds.

This table includes Revenue Bonds Capitals Funds (RBC)(0433) reimbursements processed through DAS Finance, as of December 31, 2012.

Table B: Revenue Bonds Capital Fund (RBC)(0433)

Project Name	Agency	Fiscal Year Appropriated	Original Request	Expenditures to-date	Obligated Funds	% Completed	Estimated Complete Date
Sioux City CBC Expansion	DOC	FY09	\$5,300,000	\$4,975,011	\$324,989	94%	6/13
Ottumwa CBC Expansion	DOC	FY09	\$4,100,000	\$4,049,375	\$50,625	99%	6/13
Waterloo CBC Expansion	DOC	FY09	\$6,000,000	\$5,783,594	\$216,406	96%	6/13
ICIW Expansion	DOC	FY09	\$47,500,000	\$47,500,000	\$0	100%	2/15
MPCFNCCF Kitchen	DOC	FY09	\$12,500,000	\$12,310,429	\$189,571	98%	6/13
Davenport CBC Expansion	DOC	FY09	\$2,100,000	\$2,044,316	\$55,684	97%	6/13
Project Management	DOC	FY11	\$2,200,000	\$2,200,000	\$0	100%	Complete
CBC Opening Costs	DOC	FY11	\$1,519,048	\$995,073	\$523,975	66%	6/14
CBC 5 th Security Barrier	DOC	FY11	\$1,000,000	\$ 871,785	\$ 128,215	87%	6/14
ICIW Expansion	DOC	FY12	\$4,430,952	\$0	\$4,430,952	0%	2/15
TOTALS			\$86,650,000	\$85,160,535	\$1,489,465		

FY09, FY11, FY12 appropriations within the Revenue Bonds Capital Fund (RBC) to the Department of Corrections for construction projects was \$86,650,000.

1. Sioux City CBC Facility Expansion

For cost associated with the 42 bed expansion at the community-based correctional facility in Sioux City.

Progress of Work

Completing final construction items and preparing for close out.

Funding Sources:

Funded entirely by the FY09 Revenue Bonds Capital Fund.

2. Ottumwa CBC Facility Expansion

For cost associated with the 25 bed expansion at the community-based correctional facility in Ottumwa.

Progress of Work

Completing final construction items and preparing for close out.

Funding Sources:

Funded entirely by the FY09 Revenue Bonds Capital Fund.

3. Waterloo CBC Facility Expansion

For cost associated with the 45 bed expansion at the community-based correctional facility in Waterloo.

Progress of Work

Completing final construction items and preparing for close out.

Funding Sources:

Funded entirely by the FY09 Revenue Bonds Capital Fund.

4. Iowa Correctional Institution for Women Facility Expansion

For the cost of construction of and equipment for the Iowa Correctional Facility for Women

Progress of Work

Phase 1- Exterior complete. Interior Finishing continues

Phase 2 - in various stages of construction

Funding Sources:

Funded 36% by RIIF and 64% by RBC program funds.

5. Mount Pleasant & Rockwell City Kitchens

For costs associated with the remodel of the kitchen and new warehouse at the Mount Pleasant Correctional Facility and construction of a new kitchen at the North Central Correctional Facility

Progress of Work

Mt. Pleasant – Completing final construction items and preparing for close out.

Rockwell City – Completing final construction items and preparing for close out.

Funding Sources:

Funded entirely by the FY09 Revenue Bonds Capital Fund.

6. Davenport CBC Facility Expansion

For cost associated with the 43 bed expansion at the community-based correctional facility in Davenport.

Progress of Work

Completing final construction items and preparing for close out.

Funding Sources:

Funded 23% by FY05 RIIF, 30% by FY06 RIIF, 3% by FY07 RIIF, 27% by FY09 RC2 and 17% Revenue Bonds Capital Fund.

7. Project Management

For costs associated with project management, architectural and engineering to remodel the Iowa Correctional Institute for Women and replace the Iowa State Penitentiary.

Progress of Work

Oversight on construction continues

Funding Sources:

Funded 80% by the RIIF program funds and 20% by RBC.

8. CBC one-time

For one-time Startup costs associated with opening the community-based corrections facilities at Davenport, Ottumwa, Sioux City and Waterloo.

Progress of Work

Installation of furniture, fixtures and equipment continues

Funding Sources:

Funded entirely by the FY11 Revenue Bonds Capital Fund.

9. City of Des Moines Zoo Security Barrier – Adjacent to CBC 5th Residential Facility

For costs associate with the construction of a security barrier in the natural setting at City of Des Moines Zoo adjacent to the 5th District Fort Des Moines Residential Facility. DOC reviews City of Des Moines pay applications and processes payment based upon existing contract between the two entities, which concurs with Legislative intent.

Progress of Work

Construction completed

Funding Sources:

Funded by the FY12 Revenue Bonds Capital Fund

10. Iowa Correctional Institution for Women Facility Expansion

For the cost of construction of and equipment for the Iowa Correctional Facility for Women

Progress of Work

Phase 1- Exterior complete. Interior Finishing continues

Phase 2 - In various stages of construction

Funding Sources:

Funded 36% by RIIF and 64% by RBC program funds.

This table includes Endowment for Iowa' Health Restricted Capital Fund (RC2)(0942) reimbursements processed through DAS Finance, as of December 31, 2012.

Table C: Health Project Expenditures

Project Name	Agency	Fiscal Year Appropriated	Original Request	Expenditures to-date	Obligated Funds	% Completed	Estimated Complete Date
ISP	DOC	FY13	\$ 2,000,000	\$0	\$2,000,000	0%	6/14
TOTALS			\$2,000,000	\$0	\$2,000,000		

FY08, FY09 appropriation within the Endowment for Iowa' Health Restricted Capitals Fund to the Department of Corrections for construction projects was \$2,000,000.

Endowment for Iowa' Health Restricted Capitals Project Descriptions and Funding Sources

1. ISP

For the cost of construction of and equipment for the new Iowa State Penitentiary.

Progress of Work

Exterior complete. Interior Finishing continues

Funding Sources:

Funded 14% by RIIF, 1% by RC2 and 85% by PBF program funds.

This table includes TRF (0943) reimbursements processed through DAS Finance, as of December 31, 2012.

Table D: TRF Project Expenditures

Project Name	Agency	Fiscal Year Appropriated	Original Request	Expenditures to-date	Obligated Funds	% Completed	Estimated Complete Date
ICON	DOC	FY10	\$500,000	\$500,000	\$0	100%	Complete
ICON	DOC	FY11	\$500,000	\$500,000	\$0	100%	Complete
ICON	DOC	FY12	\$500,000	\$500,000	\$0	100%	Complete
ICON	DOC	FY13	\$500,000	\$235,243	\$264,757	53%	6/13
Communication	DOC	FY13	\$3,500,000	\$27,925	\$3,472,075	1%	6/14
TOTALS			\$5,500,000	\$1,763,168	\$3,736,832		

FY10, FY11, FY12 and FY13 appropriation within the Technology Reinvestment Fund to the Department of Corrections for technology improvement projects was \$ 5,500,000.

TRF Project Descriptions and Funding Sources

1-4. ICON

The ICON system is the offender management system of the Iowa Department of Corrections. The project funded with this request will be to enhance the ICON system so that it expands its ability to share data with all of the criminal justice agencies in Iowa as required by an agreement between the Governor and the Chief Judge.

Progress of Work

Work Completed in FY12

ICON Case Management changes/additions:

- Began work on CBC kiosk project
- Began work on Prison Kiosk project
- Began work on Prison PDA project
- Anderson supreme court ruling project
- IMCC Reception database replacement into ICON
- Sexual Violence Propensity changes
- CJIS – sex offender project
- CJIS – Offender Photo project
- CJIS – NLETS project
- Began work on moving the Board of Parole IPAROLE system to ICON

ICON Medical report changes

ICON Banking report changes

ICON Pharmacy report changes

ICON Food Services changes

VINE project changes

JDW FY12 Accomplishments:

CJJP Programmer took over all Teradata management duties that were originally assigned to DAS.

CJJP installed the JDW Portal in the beginning of the fiscal year, which replaced Business Objects as the front-end access to reports. Little change was seen by the end users in terms of look and feel, but the cost benefits for CJJP are significant.

DOC and CJJP have improved a number of existing statistical reports, including Probation Revocations, Pre-Sentence Investigations, Pre-Trial Interviews, Security Standards and Prison Average Length of Stay. Various support tables were also updated, including as Reason for Change (custody or supervision closure), Facilities, and others. Much of the fiscal year was spent working on Specialty reports (which track usage of specialized supervision strategies and prison placements) for both Prison Services and CBC, which will improve reporting by eliminating counting exits and admissions of certain offenders transferring to locations within the same region. The new reports are currently being tested and validated.

Work Completed in FY11:

New Assessments - LSI-R Trailers and SVP

Counselor Gazette

ICON Offender Property Module

High Risk Unit module for Districts

Data Collection screens for Records

IMCC Reception Tracking

Offender Email/Omail/CorrLinks: Bidirectional

ICON Medical: rewritten in .net format

Intelligence/STG: TruIntel/ICONView/STG screen changes

Kaleidoscope/NLETS/Datamax to DPS ICON project, to include photos

JDW FY11 Accomplishments:

JDW reports designed and enhanced to meet the template designed for the submission of annual reports for CBC/Prison.

The software application for JDW users was updated and resulted in report fixes and substantial cost savings. Established backup and recovery plan for JDW. Programming started for the migration to a more frequent load process, which is estimated to shorten the current load process by two days. Programming work continues to allow for only new and updated data being migrated monthly vs. an entire new load monthly.

- Prison Returns, 1 year, 2 year and 3 year rates – Offenders returned to prison for any reason within two years of parole or discharge from prison or work release.
- Created new Security Standards reports, to utilize the Toxin and Non-Toxin tables.
- Created Length of Stay report for Field Offices, which excludes supervision modifier time from supervision (i.e. absconder).

Work Completed in FY10:

Statewide deployment of the ICON Critical Incident Reporting module

Deployment of the Sexual Violence Propensity Assessment

Deployment of the URICA (University of Rhode Island Change Assessment)

Deployment of the Offender Head Injury Assessment

Deployment of the revised male/female prison Custody Classification assessments

Funding Sources:

FY10 - Funded 54% from TRF funds and 46% from other General fund appropriations

FY11 - Funded 54% from TRF funds and 46% from other General fund appropriations

FY12 - Funded 54% from TRF funds and 46% from other General fund appropriations

FY13 - Funded 54% from TRF funds and 46% from other General fund appropriations

5. Radio Communication upgrades

For costs associated with replacing the DOC radio system to comply with Federal narrow banding regulations and for achieving interoperability.

Progress of Work

In planning phase

Funding Sources:

Funded entirely by the FY13 TRF funds

This table includes PBF (0512) reimbursements processed through DAS Finance, as of December 31, 2012.

Table E: PBF Project Expenditures

Project Name	Agency	Fiscal Year Appropriated	Original Request	Expenditures to-date	Obligated Funds	% Completed	Estimated Complete Date
ISP Prison	DOC	FY09	\$130,677,500	\$123,989,006	\$6,688,494	95%	2014
TOTALS			\$130,677,500	\$123,989,006	\$6,688,494		

FY09 appropriation within the Prison Bonding Fund to the Department of Corrections for construction projects was \$ 130,677,500.

PBF Project Descriptions and Funding Sources

1. Iowa State Penitentiary Prison

For the cost of construction of and equipment for the new Iowa State Penitentiary.

Progress of Work

Exterior complete. Interior Finishing continues

Funding Sources:

Funded 14% by RIIF, 1% by RC2 and 85% by PBF program funds.